Title: Virtually optimized insoles for offloading the diabetic foot: a randomized crossover study

Running head: Virtually optimized offloading insoles

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Abstract

Integration of objective biomechanical measures of foot function into the design process for insoles has been shown to provide enhanced plantar tissue protection for individuals at-risk of plantar ulceration. The use of virtual simulations utilizing numerical modelling techniques offers a potential approach to further optimize these devices. In a patient population at-risk of foot ulceration, we aimed to compare the pressure offloading performance of insoles that were optimized via numerical simulation techniques against shape-based devices. Twenty participants with diabetes and at-risk feet were enrolled in this study. Three pairs of personalized insoles: one based on shape data and subsequently manufactured via direct milling; and two were based on a design derived from shape, pressure, and ultrasound data which underwent a finite element analysis-based virtual optimization procedure. For the latter set of insole designs, one pair was manufactured via direct milling, and a second pair was manufactured through 3D printing. The offloading performance of the insoles was analyzed for forefoot regions identified as having elevated plantar pressures. In 88% of the regions of interest, the use of virtually optimized insoles resulted in lower peak plantar pressures compared to the shape-based devices. Overall, the virtually optimized insoles significantly reduced peak pressures by a mean of 41.3kPa ($p < 0.001, 95\% \text{ CI} [31.1, 51.5]$) for milled and 40.5kPa ($p < 0.001, 95\% \text{ CI} [26.4, 54.5]$) for printed devices compared to shape-based insoles. The integration of virtual optimization into the insole design process resulted in improved offloading performance compared to standard, shape-based devices.
Introduction

For patients with diabetes who have at-risk feet, therapeutic footwear that includes a custom-made insole is recognized as one of the key preventative interventions for reducing plantar ulceration incidence (Bus et al., 2016). It has been demonstrated that for this type of intervention, the inclusion of objective biomechanical measures of foot function within the design process can have a significant protective effect. In a randomized trial, Ulbrecht et al., 2014 found that biomechanically optimized insoles reduced the number of ulcers compared to standard devices, while the number of non-ulcerative lesions remained similar between groups. Bus et al., 2013 found a reduction in re-ulceration rates for those with high adherence to wearing optimized footwear when compared to interventions based primarily on shape and subjective clinical assessment, although it should be noted that on an intention to treat basis, no differences were found between groups. These studies utilized plantar pressure distribution measurements, either to derive the shape of the custom insole during the design stage (Ulbrecht et al., 2014), or to provide near real-time feedback on the performance of the therapeutic footwear, allowing an iterative series of pressure relieving modifications to be prescribed during the fitting procedure (Bus et al., 2013). Re-ulceration is multifactorial in nature, however pressure offloading, and thus footwear, plays an important role in maintaining the health of the foot in these patients.

Advanced computational simulation methods such as finite element (FE) analysis have provided a number of insights into the biomechanical effects of diabetic foot disease (Telfer et al., 2014a). It has been proposed that these techniques may offer a route to further, objective optimization of therapeutic footwear prior to it being delivered to the patient, both in terms of shape (Chen et al., 2015; Cheung and Zhang, 2005; Spirka et al., 2014) and material properties (Chatzistergos et al., 2015). However, efforts to integrate virtual optimization into the clinical prescription process
have been hampered, both by the complexity of producing these numerical models, and by the
time consuming nature of running accurate simulations (Telfer et al., 2014a).

We recently reported on efforts to use simplified FE models of the forefoot that were intended to
be faster to build and solve in comparison to standard modelling approaches, while still
performing to a level of predictive accuracy necessary to provide clinical insights (Spirka et al.,
2014; Telfer et al., 2016). This approach utilizes accessible measurement technologies to
generate the data required to produce the models and, when applied to insole design, is intended
to allow the form and position of pressure relieving features such as metatarsal bars to be
optimized for offloading performance.

The aim of this study was to test the hypothesis that an insole design workflow incorporating
virtual optimization for pressure offloading would provide greater reductions in forefoot plantar
pressures in at-risk patients with diabetic foot disease than those produced using the current,
shape-based approach.

**Methods**

**Participants**

Twenty subjects with Type 2 diabetes were enrolled into this study from Ayr University
Hospital, UK. Demographic and clinical details are provided in Table 1. Primary inclusion
criteria were: peripheral neuropathy, defined as the inability to detect 10g monofilament at one
or more plantar sites; and elevated barefoot plantar pressures in the forefoot region during gait,
defined as peak pressures over >750kPa (Owings et al., 2008). The latter criterion was screened
for using an emed pressure platform (Novel GmbH, Munich, Germany), with five trials collected for each foot using a two-step protocol (Bus and de Lange, 2005). Exclusion criteria included Charcot foot and any partial amputation of the foot. Ethical approval for this study was obtained from the local National Health Service committee (reference 14/WS/1150), and participants gave informed, written consent upon enrolment.

Protocol

An initial assessment was performed during which the data required to produce all sets of custom insoles were collected. During this assessment, physical impressions of both feet were collected using foam boxes. The same researcher performed these impressions for all participants. Then, a series of measurements relating to the internal forefoot anatomy were obtained using ultrasound imaging (MyLab 70; Esaote, Genoa, Italy). In each case, an intraoperative 13MHz linear array probe was used (IOE 323; Esaote, Genoa, Italy). The measurements included tissue thickness under each metatarsal head and sesamoid, the width and sagittal plane radius of the metatarsal heads, and the relative distances between all metatarsal heads and sesamoids.

Dynamic tissue deformation was measured during gait via in-shoe embedded ultrasonography (Telfer et al., 2014b). This technique involves an ultrasound probe being fitted to the sole of the shoe at the interface between the shoe sole and the foot, positioned directly under the 2nd metatarsal head. This method allows both the bone and plantar tissue to be imaged, and provides a means of visualizing the dynamic compression of the plantar tissues during gait. The ultrasound system and probe were the same as described previously, and standardized footwear with modifications at the forefoot allowing the probe to be housed and its position adjusted were
provided to the participants. No socks were worn, and a small amount of ultrasound gel was used to maintain contact between the foot and the probe. Using this setup, static ultrasound images were captured with the participant in a relaxed standing position, and cineloops (47Hz) showing changes in plantar tissue thickness were recorded while the participant walked at a self-selected speed that was maintained for all walking trials in the study (±5%). For the dynamic measurements, data for at least 6 complete gait cycles were collected.

Using the same standardized assessment footwear as described previously, in-shoe plantar pressures were measured during relaxed standing and level walking at the same self-selected but controlled speed (±10%) using the pedar-X system (Novel GmbH, Munich, Germany). A minimum of 12 steps per foot were recorded at 50Hz to ensure reproducibility of the measurements (Arts and Bus, 2011).

*Insole design*

Three pairs of insoles were designed and manufactured for each participant (Fig. 1): 1) standard (shape-based), milled insoles; 2) milled, virtually optimized insoles, and; 3) 3D printed, virtually optimized insoles. The standard insoles were supplied by a single commercial manufacturer with contracts to supply custom insoles to a number of National Health Service clinics attended by people with diabetes and at-risk feet. The supplier was sent the foam box with along with their standard prescription form, which contained clinical information and requested insoles for an at-risk patient with diabetic neuropathy and elevated forefoot pressures, manufactured in EVA with a Shore A hardness of 40 and top cover. The supplier was free to make any modifications to the
design, for example metatarsal pads or bars, as would be their standard practice, (Arts et al., 2015) and followed their standard procedure in the design and manufacture of the devices.

For the two virtually optimized pairs of insoles, the foam box foot impressions were 3D scanned (Cubify Sense; 3D Systems, Rock Hill, SC), and this digital object was used as the basis for the virtual simulations and the insole design.

The personalized finite element model used to perform the virtual simulations has been described in detail previously (Telfer et al., 2016). Briefly, a computer aided design program (FreeCAD V0.3; www.freecadweb.org) was used to trim the scanned foam box meshes to include just the forefoot surface anatomy. This object was made “watertight” and used to represent the forefoot plantar soft tissues in the model. The ultrasound anatomical measurements were used to generate and position simplified rigid geometric representations of the metatarsals, embedded within the tissue block and inter-metatarsal ligaments used to link these bones. Components representing the floor and shoe were also generated, with geometry and material properties that have been described previously (Telfer et al., 2016).

All model components were imported into the finite element analysis software Abaqus, (V6.10; Simulia, Providence, RI). Using the implicit static solver, the in-shoe pressure and displacement data were combined and an iterative process used to determine the material properties of the plantar soft tissue using a second order Ogden hyperelastic model. Material constants were adjusted until predicted contact pressures under the second metatarsal head were within 5% of those measured experimentally (Petre et al., 2013). Vertical loads were also applied to the other metatarsal heads and modified until they matched the measured pressures under each head at the instance of peak forefoot loading (Spirka et al., 2014).
With the model established, an initial insole CAD design was produced (Rhino V5, McNeel and Associates, WA), based on the shape of the foot scan. This was then imported into the finite element analysis software and added to the existing model (Fig. 2). An iterative series of simulations were run for each foot, where the forefoot geometry of the insole design underwent a standardized modification procedure by increasing the height of a metatarsal bar and removing material under each metatarsal head until the regional peak plantar pressures were predicted to be <200kPa or the limits of the possible modifications had been reached. The 200kPa threshold is based on previous studies showing that reducing peak plantar pressures below this level is protective against ulcer recurrence in this patient group (Waaijman et al., 2014).

The optimized design was used to make two pairs of insoles; the first milled using the same EVA material as the standard devices, and for the second pair the same designs were 3D printed in a soft PLA material on an Airwolf HD2x printer (Airwolf 3D, Costa Mesa, CA) at a layer resolution of 0.2mm and infill of 12%. This second pair was intended to demonstrate the feasibility of producing these devices via 3D printing.

**Insole assessment**

After all pairs of insoles were manufactured, the participant returned for a second experimental session to evaluate them. A randomized crossover design was used to test the effect of each pair of insoles on forefoot offloading, with the participant blinded to the insole type. Walking speed was standardized to within ±10% of that recorded during the initial assessment session. Footwear was standardized across participants during the insole assessment, with each being provided with extra-depth footwear (Marsden, Peacocks Medical Group Ltd, UK) to wear during testing. As
before, the pedar-X system recording at 50Hz was used to measure the in-shoe plantar pressures, with at least 12 steps being collected for each foot per insole condition. In-shoe pressure measurement insoles were taped to the participants’ feet and a thin cotton sock worn over the top to hold the sensor array in place when changing between insole conditions.

**Analysis**

Data analysis was carried out using R (V3.2.2) (R Development Core Team, 2016). Figures presenting data were produced using the ggplot2 package (Wickham, 2009).

Regions of interest were identified from the barefoot plantar pressure data. These regions (1\textsuperscript{st} MTH, 2\textsuperscript{nd} MTH and 3-5\textsuperscript{th} MTHs) were defined as those where the localized peak plantar pressure, averaged over five steps, exceeded 450kPa (Owings et al., 2008), and were used for the primary analysis of insole performance. Additionally, to determine how the pressure was redistributed, the midfoot region was also identified and analyzed.

For each insole condition, in-shoe pressure measurements for at least 12 steps were analyzed (Arts and Bus, 2011). Regional peak pressures were calculated. Pressure results were compared using a repeated measures ANOVA test followed by appropriate pairwise comparisons. Bonferroni corrections were applied to an initial $\alpha$ of 0.05 where appropriate to correct for multiple comparisons. Peak regional plantar pressures were predicted by the FE models were also compared to the measured values to assess the performance of the modeling approach.

**Results**
Two participants died due to unrelated medical reasons after enrollment and before it was possible to test their insoles, meaning that eighteen participants completed the study.

Seventy-six ROI were identified from the barefoot plantar pressure data. In 88% of these regions, the milled, optimized design shape showed lower peak pressures than the standard design, with a mean difference of 41.3kPa (Fig. 3). For the printed optimized insoles, lower peak pressures were seen in 74% of the regions of interest compared to the standard devices, with a mean difference of 40.5kPa. Repeated measures ANOVA across insole conditions revealed significant differences between groups ($p<0.001$), with pairwise comparisons showing that both sets of virtually optimized devices provided significantly greater forefoot offloading at regions of interest than the standard insoles (milled: $p<0.001$, 95% CI [31.1, 51.5]; printed: $p<0.001$, 95% CI [26.4, 54.5]). There were no significant differences in offloading performance between the milled and printed optimized insoles.

At the midfoot, when compared to the shape based devices, peak pressures were found to increase in the optimized insoles. Peak pressures increased by a mean of 14.1kPa ($p=0.01$, 95% CI [3.5, 24.6]) and 20.6kPa ($p<0.001$ 95% CI [11.6, 31.5]) for the milled optimized and the printed optimized designs respectively.

The FE models used to design the optimized devices tended to underestimate the measured in-shoe peak plantar pressures by a mean of 35kPa. The mean absolute difference between the predicted and measured results was 68kPa (SD 61).

**Discussion**
This study describes the implementation of a virtual optimization procedure into the insole design process for diabetic foot offloading and tests these devices in a clinical trial. Despite emerging evidence supporting the inclusion of objective biomechanical measurements within the design process, insoles designed primarily around foot shape - and the inconsistencies associated with this (Guldemond et al., 2005) - are still the most common type prescribed for patients with at-risk feet. Here we add to this growing body of evidence, demonstrating that insoles utilizing functional plantar pressure and soft tissue measurements via an FE modeling approach provide improved offloading compared to shape based devices.

Our results showed that the primary mechanism used to reduce forefoot pressures in the optimized insoles was a redistribution of loading from the bony prominences of the forefoot to the midfoot. This has been shown to be an effective offloading strategy for custom insoles in number of previous studies (McCormick et al., 2013; Owings et al., 2008). The improvement in offloading effect was similar to that seen in studies testing biomechanically optimized insoles based around pressure and shape (Owings et al., 2008), which meet the offloading performance guidelines recommended by the International Working Group on the Diabetic Foot (S. A. Bus et al., 2016). The present study aimed to test the feasibility of the virtual optimization approach and was based around a comparison against devices used currently in NHS clinical practices, so a direct comparison against the pressure and shape-based insoles was not carried out. Future work will look to evaluate if the addition of the virtual optimization procedures produces benefits beyond that of previous biomechanical optimization approaches.

This study focused on elevated forefoot plantar pressure distributions as one of the key mechanisms for plantar ulceration, and as such we are unable to confirm with this study design whether insoles designed using the proposed approach will result in decreased ulceration rates.
Additional biomechanical factors such as shear stresses are likely to play a key role in foot ulceration (Yavuz et al., 2015), and the use of ultrasound gel between the foot and the shoe is likely to affect these. However, given that previous studies on interventions targeting plantar pressures have been shown to be effective in reducing ulcer incidence (Bus et al., 2013; Ulbrecht et al., 2014), we believe these results may act as encouraging early indicators of the insoles potential therapeutic effect. The various activities of daily living may cause different loading patterns on the foot, however in this study we limited the assessment to level, over ground walking.

In this study we explored new manufacturing techniques for the manufacture of the insoles, namely 3D printing (also known as additive manufacture). This technology has been the subject of interest for a number of medical applications, with its suitability for mass customization applications making it particularly appealing for the production of therapeutic footwear (Telfer et al., 2012). Our results showed that the milled and printed designs enhanced forefoot offloading by a similar amount, however greater variation in the response was seen for the printed insoles. This may be explained in part by the nature of the printing process used, where the outer layers form a solid shell with a less dense structure making up the interior. By varying the density of the interior structures we attempted to match the material properties of printed devices to the EVA used in the milled devices. However, the solid external shell may lead to areas of increased stiffness around areas with distinct contours, for example at the metatarsal bar feature utilized in these designs, and this, combined with the sensitivity of offloading effect to the positioning of these features (Hastings et al., 2007; Hsi et al., 2005), may have resulted in greater or lesser reductions compared to the softer features on the milled devices. We utilized a relatively mature 3D printing technology, fused deposition modeling, which provided functional devices, however
recent advances in this field present the opportunity to significantly decrease the manufacture
time, currently ~6 hours per insole, and offer the ability to control material properties at a
regional level (Tumbleston et al., 2015). These developments have clear implications for the
future adoption of this technology in the orthotics field.

In comparison to many previous FE models of the foot, we utilized clinically accessible tools to
provide the model inputs, specifically ultrasound, in-shoe pressure measurement and 3D surface
scanning. This does increase the time required to collect all of the required data, with a full data
collection taking around 60 minutes, and this will have cost implications. The current workflow
still requires a level of expertise in modeling, and further work is required to ensure the
robustness of the process. The time taken to build and run the optimization process for each
insole was approximately two days, the majority of this in running the simulations. This likely
remains too long a time period for effective clinical implementation, however there are a number
of opportunities within the workflow for further automation. In addition, increased computing
power would significantly reduce the modeling time required (Telfer et al., 2016), bringing the
time frame down to more feasible levels. With healthcare costs associated with a foot ulcer high
(in the US ranging from ~$4K for a simple case and ~$190K for more complex case resulting in
amputation (Cavanagh et al., 2012), the additional cost of these measurements and the more
complex design process could potentially be justified.

The virtual simulations tended to under-predict the measured values. To simplify the modeling
procedure as much as possible, we made a number of assumptions when designing the virtual
optimization. We looked only at one instance during the gait cycle, that where peak loading of
the forefoot occurred. It has been shown that flexion angle can have an effect on material
properties (Chen et al., 2014), thus may affect predictions at different points during stance.
Plantar tissue is also viscoelastic and this was not taken into account (Pai and Ledoux, 2011). Future iterations of the model may be adapted to ensure that, for safety, the model over-predicts the pressure values. Nevertheless, the current approach was still found to be effective at enhancing the forefoot offloading performance of the devices.

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**Conflicts of interest**

PRC has equity in DiaPEDIA LLC, a manufacturer of custom insoles.
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Tables

Table 1 – Participant information

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<td>Sex (F/M)</td>
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<tr>
<td>Age (years)</td>
<td>64.4 (SD 9.2)</td>
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<tr>
<td>Weight (kg)</td>
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<td>Height (m)</td>
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<td>Diabetes duration (years)</td>
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<td>Ulcer history (Y/N)</td>
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Figure legends

Fig. 1 – Exemplar insole designs: A) standard shape based, direct milled; B) virtually optimized, direct milled; C) virtually optimized, 3D printed

Fig. 2 – FE model with construction overview

Fig. 3 – Peak pressure results for each insole condition are presented as boxplots. The box signifies the upper and lower quartiles, and the mean is represented by the black line within the box. Statistically significant differences between conditions are indicated with “*”